Coincidence Analysis: An Introduction

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Which strategies facilitate cancer programs' implementation of survivorship care plans?



+1 day

+10%!!!



Strategy	β
Facilitation	.1 *
Training	.05*
Audit and feedback	.2
Incentives	.02
Prepare champions	.03*







What you can expect

The WHAT and WHY of coincidence analysis (.....but not the HOW*)

*Join us for a weeklong training in Indianapolis in September 2020!

Agenda

- Introduction
- Boolean Algebra
- Consistency and Coverage in CNA
- Hands-On Exercises #1 and #2
- The CNA Algorithm
- Hands-On Exercise #3
- Results and Interpretation in CNA
- Hands-On Exercise #4
- Q&A and Future Directions

More than one cause of a house fire

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BOOLEAN ALGEBRA

How Does It Work?

- Fundamentally different kind of math
- Fundamentally different search target

Discussion/Q&A





Illustration

In Boolean algebra....

1 + 1 = 1

A light bulb in a circuit board

.....



A light bulb in a circuit board

Light Bulb = outcome It can be **OFF** or **ON** Off = 0; On = 1 \longrightarrow^{0} OFF = 0



A light bulb in a circuit board

Switches can be OPEN or CLOSED



Boolean addition



Boolean Algebra



Search Targets

CNA Search target =

Find e.g., configurations of switches in on or off position that are linked to "light bulb being on"

We want to find in <u>configurations</u> of <u>conditions</u> that lead to <u>outcome</u>

Versus:

Correlation analysis search target =

Find how "more/less of X" relates to "more/less of Y"

(when controlling for all other variables)

• e.g., dimmer switch



Exercises

Boolean Laws





"+"
$$\rightarrow$$
 OR
X + 1 = 1 \xrightarrow{x}
X + X = X \xrightarrow{x}

$$\begin{array}{c} "*" \rightarrow AND \\ X * 1 = X \\ X * 0 = 0 \\ X * X = X \end{array} \begin{array}{c} x \\ x \\ x \\ x \\ x \end{array}$$

CONSISTENCY AND COVERAGE IN CNA

Consistency and Coverage



Consistency = ALL CASES WITH OUTCOME PRESENT AND COVERED BY SOLUTION ALL CASES COVERED BY SOLUTION

Coverage = ALL CASES WITH OUTCOME PRESENT AND COVERED BY SOLUTION ALL CASES WITH OUTCOME PRESENT

Case	L	Н	F	М	OUTCOME
1	1	1	1	1	1
2	1	1	1	0	1
3	1	1	1	1	1
4	0	0	1	0	1
5	0	0	1	0	1
6	0	1	0	0	1
7	1	1	0	1	1
8	0	1	1	0	1
9	0	0	1	1	1
10	0	1	1	1	1
11	0	0	1	1	1
12	1	0	0	0	0
13	1	0	1	0	0
14	0	1	0	0	0
15	1	0	0	0	0
16	1	0	0	1	0
17	0	0	0	0	0
18	0	0	0	0	0
19	1	0	1	0	0
20	1	0	0	0	0
21	1	0	0	0	0
22	1	1	1	0	0
23	1	0	1	1	0
24	0	1	1	0	0
25	0	0	0	0	0
26	0	0	0	1	0
27	0	0	1	0	0

data excerpted from:

Blackman T. Exploring explanations for local reductions in teenage pregnancy rates in England: an approach using qualitative comparative analysis. Social Policy and Society. 2013 Jan;12(1):61-72.

Case	L	Н	F	М	OUTCOME
1	1	1	1	1	1
2	1	1	1	0	1
3	1	1	1	1	1
4	0	0	1	0	1
5	0	0	1	0	1
6	0	1	0	0	1
7	1	1	0	1	1
8	0	1	1	0	1
9	0	0	1	1	1
10	0	1	1	1	1
11	0	0	1	1	1
12	1	0	0	0	0
13	1	0	1	0	0
14	0	1	0	0	0
15	1	0	0	0	0
16	1	0	0	1	0
17	0	0	0	0	0
18	0	0	0	0	0
19	1	0	1	0	0
20	1	0	0	0	0
21	1	0	0	0	0
22	1	1	1	0	0
23	1	0	1	1	0
24	0	1	1	0	0
25	0	0	0	0	0
26	0	0	0	1	0
27	0	0	1	0	0

solution:

L*H + H*M + I*h*F <-> OUTCOME



Consistency:

ALL CASES WITH OUTCOME PRESENT AND COVERED BY SOLUTION

DIVIDED BY

ALL CASES COVERED BY SOLUTION



L*H + H*M + I*h*F <-> OUTCOME



L*H



 $L^{*}H + H^{*}M$



 $L^{*}H + H^{*}M + I^{*}h^{*}F$





ALL CASES WITH OUTCOME PRESENT AND COVERED BY SOLUTION



$L^{*}H + H^{*}M + I^{*}h^{*}F$

Consistency

ALL CASES COVERED BY MODEL

DIVIDED BY

ALL CASES WITH OUTCOME PRESENT AND COVERED BY MODEL

= 9/11 = .82= 82%




Hands-On Activity #1:

Calculating Consistency and Coverage in CNA

Hands-On Activity #2:

Calculating Consistency and Coverage in CNA (continued)

THE CNA ALGORITHM

CNA Algorithm

- Unlike QCA, CNA uses a bottom-up algorithm designed for research applications
- Custom-built algorithm designed for research applications
- Decomposes your dataset into "building blocks" based on consistency
 - You set desired consistency level (usually between 80-100%)

CNA Algorithm

- Begins by assessing smallest possible "blocks" = one condition
- Next assesses slightly larger "blocks" = two conditions
- Among all possible combinations, CNA algorithm identifies those "configuration blocks" that meet your <u>consistency specifications</u>
- CNA then proceeds to build models using these selected blocks
- CNA finds models that meet your <u>coverage specifications</u> for overall solution
 - You set coverage threshold (usually between 80-100%)

CNA Algorithm

Multiple Advantages to Bottom-Up Approach

- uses actual values in your dataset (no counterfactual data needed)
- outcome does not need to be pre-specified (CNA will find it)
- identifies models with causal chains
- users set both consistency and coverage thresholds
- additional benefits



Data Table Factor A Factor B Factor C

OUTCOME



Let's set consistency threshold at 100%

Now CNA algorithm decomposes dataset into smallest possible "configuration blocks"

A: 3/4, 75% a: 2/4, 50% B: 3/4, 75% b: 2/4, 50% C: 4/4, 100% c: 1/4, 25%



Next the CNA algorithm decomposes dataset into "configuration blocks" of two conditions (except for C=1, as C=1 alone is sufficient for outcome with 100% consistency):

AB: 2/2, 100% Ab: 1/2, 50% aB: 1/2, 50% ab: 1/2, 50% Bc: 1/2, 50% bc: none Ac: 1/2, 50% ac: none consistency threshold = 100%

"building blocks" of one condition:

A: 3/4, 75% a: 2/4, 50% B: 3/4, 75% b: 2/4, 50% C: 4/4, 100% c: 1/4, 25% "building blocks" of two conditions:

AB: 2/2, 100%

Ab: 1/2, 50% aB: 1/2, 50% ab: 1/2, 50% Bc: 1/2, 50% bc: 0/2, 0% Ac: 1/2, 50% ac: 0/2, 0%

blocks to use in model-building (because they meet 100% consistency specification): C, AB



Now let's set coverage threshold at 100%. CNA algorithm uses selected "configuration blocks" that meet consistency specifications to build models that satisfy coverage requirements for overall solution

selected blocks to use in model-building: C, AB



Presence of C (i.e., C=1) explains 4 of 5 cases with outcome present

• C alone is sufficient

Remaining unexplained case covered by A*B

Final Solution:

 $C + (A^*B) \leftrightarrow OUTCOME = 1$

- consistency = 100%
- coverage = 100%

Hands-On Activity #3:

The CNA Algorithm

RESULTS AND INTERPRETATION IN CNA



Conditions

	Factor A	Factor B	Factor C	OUTCOME
CASE 01	1	1	1	1
CASE 02	1	1	0	0
CASE 03	1	0	1	1
CASE 04	1	0	0	1
CASE 05	0	1	1	1
CASE 06	0	1	0	0
CASE 07	0	0	1	1
CASE 08	0	0	0	0
CASE 09	1	1	1	1
CASE 10	0	1	0	0
CASE 11	1	1	0	0
CASE 12	0	1	1	1
CASE 13	1	1	0	0
CASE 14	1	1	1	1
CASE 15	0	0	0	0
CASE 16	1	0	0	1

1. Calibrate scores & select conditions



Bottom-up Algorithm Used to Build Model

Calculates consistency scores for each configuration (i.e., each condition and then each combination of conditions)



	Factor A	Factor B	Factor C	OUTCOME
CASE 01	1	1	1	1
CASE 02	1	1	0	0
CASE 03	1	0	1	1
CASE 04	1	0	0	1
CASE 05	0	1	1	1
CASE 06	0	1	0	0
CASE 07	0	0	1	1
CASE 08	0	0	0	0
CASE 09	1	1	1	1
CASE 10	0	1	0	0
CASE 11	1	1	0	0
CASE 12	0	1	1	1
CASE 13	1	1	0	0
CASE 14	1	1	1	1
CASE 15	0	0	0	0
CASE 16	1	0	0	1

Run Analysis Using R software (CNA package)

library(cna)
options(max.print=999999)
setwd("/home/debi/Dropbox/Debi's/")
BottomUp <- read.csv("ExampleBottomUp.csv", row.names=1)</pre>

3. Run analysis

BottomUp2 <- BottomUp [,c("A", "B", "C","OUTCOME")] cna(BottomUp2, con=1,cov=1)

CNA Output

--- Coincidence Analysis (CNA) ---

Factors: A, B, C, OUTCOME

Atomic solution formulas:

Outcome OUTCOME: solution C + A*b <-> OUTCOME

2 "causal" configurations of conditions



4. Interpret solution(s)



Note: Consistency and coverage are interpreted differently with fuzzy set data

Hands-On Activity #4:

Causal Chains in CNA

Exercises

Discussion/Q&A

Activity: Interpret Causal Chain A Hypothetical Example

Conditions

Highly<u>C</u>ollaborative Group (C)

Negative <u>A</u>ttitude Among Key Person (A) <u>P</u>eer Pressure From Competing Hospitals (P)

Aware of <u>External policy</u> Recommendation (E)

Outcome

Highly <u>S</u>uccessful Implementation (S)

Strong Communication <u>N</u>etworks (N)

Hypothetical Data

Configur- ation		Cond	Outcome	Cases		
	А	Ν	E	С	S	Hospitals (N=30)
c1	0	1	1	1	1	LU, UR, SU, OW, NW, AR, AI
c2	0	1	0	1	1	GL, UG, SO, SG, AG
c3	0	1	1	1	1	GR, TG
c4	1	1	0	1	1	ИН
c5	1	1	1	1	1	BE
c6	1	1	0	1	1	SH
с7	1	0	0	1	1	BL
c8	0	0	0	1	1	ті
c9	0	0	1	0	1	VS
c10	0	0	1	0	1	FR, EU
c11	1	0	1	0	1	JU
c12	1	0	0	0	0	VD, NE, GE, PP
c13	1	0	0	0	0	BS, KP, GP

Introduction			Exercises		Discussion/Q&A	
Conditions					Outcome	
Highly <u>C</u> ollaborative Group (C)	<u>P</u> eer Pressure from Competing Hospitals (P)		Strong Communication <u>N</u> etworks (N)		Highly <u>S</u> uccessful Implementation (S)	
			ternal policy Idation (E)			
Atomic solution formulas:			Д	Activit	CV:	
Outcome C:		Interpret Causal Chain				
solution consistency N + a*e <-> C 1	coverage 0.947	Comp	lex solution form	ulas:		
Outcome S:		outco	me solution		consistency cove	rage

C,S (N + a*e <-> C)*(C + E <-> S) 1

0.947

solution consistency coverage

C + E <-> S 1 1

4. Interpret solution(s)

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outcome solution C (N + a*e <-> C)





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4. Interpret solution(s)

Note: Consistency and coverage are interpreted differently with fuzzy set data

4. Interpret solution(s)





4. Interpret solution(s)

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Complex solution formula:

outcome solution C,S (N + a*e <-> C)*(C + E <-> S)



Solution consistency

- 23 cases match the configuration of "causal conditions" from the complete solution <u>and</u> had both outcomes present (C) and (S)
- These same 23 were the only ones that match the "causal configuration" from the solution

Complex solution formulas [complete solution]:

 outcome solution
 consistency
 coverage

 C,S
 (N + a*e <-> C)*(C + E <-> S)
 1
 0.947

Note: Consistency is interpreted differently with fuzzy set data

Solution Coverage

- The complete solution is only as good as the <u>"weakest link"</u>
- Recall that the atomic solution for C had coverage of 0.947

outo	ome solution	consistency	coverage
C,S	(N + a*e <-> C)*(C + E <-> S)	1	0.947

Note: Coverage is interpreted differently with fuzzy set data



Considerations



Q&A and Future Directions

- Building capacity
- What about you?

Resources

All Things Configured:

- Founded in February 2019
- National community of practice focused on configurational research
- Monthly 1-hour calls, each with a lead presenter
- Over 60 members (including all 4 co-presenters at this CNA workshop)
 - Represent all 4 time zones in continental United States
 - Includes investigators, faculty, analysts, fellows, CDAs, graduate students, etc.
 - Most members have at least some prior experience with approach (e.g., QCA, CNA)
 - Primary focus: sharing information and "talking shop" re: configurational methods
- To join (or get more information)
 - send email message to Edward.Miech@va.gov

5-day training





ABOUT L	IS	RESEARCH	IMPLEMENTATION	NEWS	TRAINING	RESOURCES	PARTNE
IEWS & EVENTS	EVENTS	5-DAY METHOD	OLOGY SEMINAR: CONFIGURATI	IONAL			
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CONFERENCE

5-Day Methodology Seminar: Configurational Research with Qualitative Comparative Analysis and Coincidence Analysis

Statistical packages

Ambuehl M, Baumgartner M. cna: Causal Modeling with Coincidence Analysis. R package version 2.1.1. 2018. <u>https://CRAN.R-</u> <u>project.org/package=cna</u>

Baumgartner M. (2012). Detecting Causal Chains in Small-n Data. Field Methods. <u>https://journals.sagepub.com/doi/10.1177/1525822X12462527</u>R QCAPro package

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Rohlfing I, Zuber CI. (2019). Check Your Truth Conditions!Clarifying the Relationship between Theories of Causation and Social Science Methods for Causal Inference. Sociological Methods & Research. Blogpost discussion: <u>https://ingorohlfing.wordpress.com/2016/03/28/you-are-a-regularity-theorist-when-using-the-coincidence-analysis-algorithm-in-qca/</u>